| Electronic Acknowledgement Receipt   |   |  |  |  |
|--------------------------------------|---|--|--|--|
| EFS ID:                              | 7229021   |  |  |  |
| Application Number:                  | 10660382  |  |  |  |
| International Application Number:    |   |  |  |  |
| Confirmation Number:                 | 6022  |  |  |  |
| Title of Invention:                  | High-capacity nanostructured silicon and lithium alloys thereof |  |  |  |
| First Named Inventor/Applicant Name: | Jason A. Graetz   |  |  |  |
| Customer Number:                     | 23713   |  |  |  |
| Filer:                               | Stephen Brennan Barone  |  |  |  |
| Filer Authorized By:                 |   |  |  |  |
| Attorney Docket Number:              | 26-06   |  |  |  |
| Receipt Date:                        | 17-MAR-2010   |  |  |  |
| Filing Date:                         | 10-SEP-2003   |  |  |  |
| Time Stamp:                          | 15:59:53  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                     |  |  |  |

# **Payment information:**

| Submitted with Payment                   | yes             |
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| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$555           |
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| Document<br>Number                | Document Description                                  | File Name                                    | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |
|-----------------------------------|---|--|--|---------------------|---------------------|
| 1 Miscellaneous Incoming Letter   | Miscellaneous Incoming Letter                         | 26-06_US_EFS_ROA_9-17-9.pdf                  | 43841  | no                  | 1                   |
|                                   | 20 00_03_E/3_N6/(_5 1/ 5/pa/                          | 2642e0bc93ceef17eeeb48d1e297eaa323c<br>6768d | 110  | <b>,</b>            |                     |
| Warnings:                         |   |  |  |                     |                     |
| Information:                      |   |  |  |                     |                     |
| 2 Extension of Time               | 26-06_US_pet_eot_3-mo.pdf                             | 51365  | no   | 1                   |                     |
|                                   |   | 8a99b12f6ec544f3d73d025ebb7cca7c97cc<br>f6d1 |  |                     |                     |
| Warnings:                         |   |  |  |                     |                     |
| Information:                      |   |  |  |                     |                     |
| 3                                 | 26-06_US_ROA_17March2010v                             | 128294                                       | yes  | 10                  |                     |
|                                   |   | FINAL.pdf                                    | 41e71a667205ff346fdfa7cdd2eac0a9553f0<br>952 | ,                   |                     |
|                                   | Multi   | part Description/PDF files in .              | zip description                              |                     |                     |
|                                   | Document Description                                  |  | Start  | End                 |                     |
|                                   | Amendment/Req. Reconsideration-After Non-Final Reject |  | 1  | 1                   |                     |
|                                   | Claims  |  | 2  | 4                   |                     |
|                                   | Applicant Arguments/Remarks Made in an Amendment      |  | 5  | 10                  |                     |
| Warnings:                         |   |  |  |                     |                     |
| Information:                      |   |  |  |                     |                     |
| 4 Rule 130, 131 or 132 Affidavits | 26-06_US_Exd_Yazami_dec_FI<br>NAL_VERSION2.pdf        | 109989                                       | no   | 4                   |                     |
|                                   |   | d08b641ef104648bfd8b7d2caae62151c92<br>24ac4 |  |                     |                     |
| Warnings:                         |   | •  |  |                     |                     |
| Information:                      |   |  |  |                     |                     |
| 5 Fee Worksheet (PTO-875)         | fee-info.pdf  | 29743  | no   | 2                   |                     |
|                                   | ` '   | 5.4  | 91d9178908201c2ed2932baf292bab9e0d1<br>71346 |                     |                     |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

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